



TrainingCentral

Profile – Domain Expert DE033

Qualifications

MBA - JBIMS
BA - Physics

Organisations Worked for

Own Risk Management Product Company
PRMIA San Francisco Steering Committee Member
Silicon Valley Bank
Citicorp Information Technology Industries Ltd
CMC Ltd.
Blue Chip
Bluechip Computer Consultants Pvt. Ltd.

Base Location:

San Fransisco, California

#Years of Experience:

36 years

Domain Area:

Risk Management

Sub-Domain:

Risk Management and Compliance

Key highlights of your career

- *Have built a premier risk and compliance product company that helps address the complex worldwide regulatory challenges faced by financial institutions. The specialized suite of web based solutions address enterprise risk, operational risk, regulatory compliance, client management and client compliance, AML, vendor risk, ITGRC, operational due diligence, MiFID II, Reg BI, GDPR and regulatory reporting for the US and European Markets in banking, alternate investments pension and wealth management segments.*
- *ESG and Sustainability is a special feature and the company has released ESG for corporates to help corporates address their ESG obligations as well as ESG for Fund Managers to help manage and monitor their investments going into ESG initiatives .*
- *Product Areas include*
 - *GRC for Enterprise Risk management*
 - *Integrated End to End Client Life Cycle Management for Wealth Management Industry to address MiFID II, Reg BI, Fiduciary Rule, Suitability rules*
 - *ESG for Corporates*
 - *CCO for Compliance Management for Broker-Dealers / Advisors / Hedge funds*
 - *AML for Anti-Money Laundering processes*
 - *Trade Monitoring for Monitoring Trades*
 - *ITGRC for GDPR / CCPA / Privacy regulations for Information Technology Risk Management*
 - *xVendor for Vendor Risk Management*
 - *Form PF for Form PF filing*
 - *ODD for operational due diligence for institutional investors - pensions, endowments, family offices, HNWs, & Private Equity*
 - *ESG for Fund Managers*
- *Has worked globally on complex technology and financial applications including on-line trading systems (Bombay Stock Exchange), derivatives processing, data warehousing, internet banking and treasury systems for Citibank*
- *Has coordinated the Silicon Valley risk and compliance round tables as the Steering Committee member of PRMIA - Professional Risk Manager's International Association San Francisco Chapter. She has also*



TrainingCentral

work with various risk and compliance think tanks in the US and Europe on standards and thought leadership.

Description of roles and responsibilities held over the years *(the last 10 years should be adequate to showcase your expertise. Extend to more no. of years if you feel relevant)*

Organization : Own Risk and Compliance Product Company

Designation : Founder and CEO

Period : 2005 till date

Key Responsibilities and Achievements

Refer above.

Organization : Silicon Valley Bank

Designation : Business Analyst

Period : Jul 2000 to Dec 2004

Key Responsibilities and Achievements

She has worked with Business in defining the requirements for Internet Banking for the Bank's Corporate customers as well as Private Banking customers. Helped in the Functional testing of three versions of the system and took it to cutover and live from an existing product that the bank was using for Internet Banking.